



REPUBLIC OF UGANDA
MINISTRY OF AGRICULTURE,
ANIMAL INDUSTRY & FISHERIES
(MAAIF)

**SUPPORT TO PROMOTING
ENVIRONMENTALLY SUSTAINABLE
COMMERCIAL AQUACULTURE
(PESCA) PROJECT IN UGANDA-
FED/2016/38334**



EUROPEAN UNION

PESCA Call for Proposals Clarifications after the Information Session of 20 December 2018

Held at Hotel Africana - Kampala

No.	QUESTIONS	ANSWERS
1	What is the percentage of own contribution to the project if given the grant <i>vis-a-vis</i> purchase of land?	The percentage of own contribution is between 10% to 50% in line with the financial allocation provided by the Contracting Authority. Refer to page 7 of the Guidelines for grant applicants.
2	How about European Union based co-applicants?	See eligibility criteria defined in Section 2.1.1 of the Guidelines. Please note that in order to ensure equal treatment of applicants, the Contracting Authority cannot give a prior opinion on the eligibility of lead applicants, co-applicants, affiliated entity(ies), an action or specific activities (see section 2.2.4 of the Guidelines for grant applicants).
3	Who are the evaluators and are they external or internal?	In line with Section 6.5.7.1 of the Practical Guide for European Union external actions, the evaluation committee comprising of evaluators possessing the technical and administrative capacity necessary to give an informed opinion on the proposals will be appointed by the Contracting Authority, i.e. the Ministry of Finance Planning and Economic Development in consultation with the European Commission.
4	What is the assurance for the availability of funds for the call for proposal and how will the funds flow?	The funds are available following the signature of the Financing Agreement no. FED/2016/038-334 by the Government of Uganda and the European Commission. The funds flow is defined in Article 15 of the General Conditions to the standard grant contract (see Annex G of the Guidelines for grant applicants).
5	The fund allocation for the feed and seed development seems low, why is this?	The funds allocation for all lots arose from a feasibility study carried out in 2013 and stakeholder consultations held during the inception phase of the project.
6	How many successful applicants are needed per lot?	For each lot, only one application will be selected and awarded a grant.

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7	In case of failure of a partnership, is a sole applicant allowed?	<p>Section 2.1.1 of the Guidelines for grant applicants defines the eligibility criteria.</p> <p>Please note that in order to ensure equal treatment of applicants, the Contracting Authority cannot give a prior opinion on the eligibility of lead applicants, co-applicants, affiliated entity(ies), an action or specific activities (see section 2.2.4 of the Guidelines for grant applicants).</p>
8	What is the maximum number of applicants per lot?	<p>There is no limit set to the number of applicants per lot. However, the number of applications and award of grants per applicants / affiliated entities is defined in Section 2.1.4 of the Guidelines.</p>
9	When and at what stage are the supporting documents needed?	<p>Refer to Sections 2.3 and 2.4 of the Guidelines for grant applicants.</p>
10	Is there an opportunity for a group of applicants who have a strong proposal but cannot meet the criteria?	<p>All successful applicants must meet the criteria defined in the Guidelines for grant applicants.</p>
11	What happens when a successful applicant has quoted the minimum price? Where does the balance go?	<p>Any grant requested under this call for proposals must fall between the indicated minimum (50%) and maximum (90%) percentages of total eligible costs of the action.</p> <p>If the allocation indicated for a specific lot cannot be used, the Contracting Authority reserves the right to reallocate the remaining funds to other lots.</p> <p>Please note that the contracting authority reserves the right not to award all available funds. Refer to page 7 of the Guidelines for grant applicants.</p>
12	Why is submission of the call for Proposal by hand delivery?	<p>There are different systems for submission of the concept notes and full applications, i.e. post or private courier service or hand-delivery. Refer to Sections 2.2.2, 2.2.3, 2.2.6 and 2.2.7 of the Guidelines for grant applicants.</p>
13	Suppose the consortium is made up of only international experts, how is sustainability going to be ensured after the project?	<p>Sustainability of the action is one of the aspects that will be evaluated in the full application. Refer to the evaluation grid in section 2.3 of the Guidelines for grant applicants and also the objectives and priority issues of the project outlined in the Guidelines..</p>
14	Are there funds for other or similar calls in future to ensure sustainability?	<p>Please consult the EuropeAid website below for other calls: https://webgate.ec.europa.eu/europeaid/online-services/index.cfm?do=publi.welcome</p>
15	Why did the project not consider smallholder interest for sustainability?	<p>Small holders beneficiaries are an integral part of the project and thus the Call for Proposal.</p>

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16	Is there an institution to contact for a pool of experts for aquaculture sector?	Any inquiries for expertise on aquaculture should be directed to the Department of Aquaculture Management and Development in Directorate of Fisheries Resources, Ministry of Agriculture, Animal Industry and Fisheries, Entebbe.
17	Most farmers in aquaculture sector do not have the audited books and lack capacity in proposal development, how do we work with this?	The obligation to provide an audit report as a supporting document is defined in section 2.4 of the Guidelines for grant applicants.
18	What does Ministry of Finance Planning and Economic Development have for the local farmers who are not graduates?	This inquiry should be directed to the Ministry of Agriculture, Animal Industry and Fisheries, Entebbe.
19	In case of an international partner thus (United Kingdom) and at the point of implementation BREXIT what happens?	Refer to Section 2.1.1 of the Guidelines for grant applicants. Please note that in order to ensure equal treatment of applicants, the Contracting Authority cannot give a prior opinion on the eligibility of lead applicants, co-applicants, affiliated entity(ies), an action or specific activities (see section 2.2.4 of the Guidelines for grant applicants).
20	If there are four (4) lots then why don't you allocate the money to the four firms?	According to the Guidelines the Contracting Authority reserves the right not to award all available funds. Please refer to page 7 of the Guidelines for grant applicants.
21	Why only four (4) firms?	This question is not clear. However, please refer to the section on financial allocation provided by the Contracting Authority – page 7 of the Guidelines for grant applicants.
22	Do the five (5) pages include annexes?	Please refer to Annex A of the Guidelines for grant applicants which contains the instructions on how to complete the concept note. There should be NO annexes attached to concept note.
23	What does “No entity can apply as lead applicant in more than one proposal per lot” mean?	Refer to Section 2.1.4 (page 17) of the Guidelines for grant applicants.
24	Top-down arrangements leave out the rural farmers or stakeholders, therefore the Government of Uganda should guarantee the citizens who do the hands-on work. Can you clarify this?	Refer to response in question no. 18.
25	Can uploads be made much faster for cross referencing?	These are available on the websites indicated in Section 2.2.4 of the Guidelines for grant applicants.

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26	Why not carry out the grouping of members into four (4) lots and allocate the Call for Proposals?	The participation in this Call for Proposals is on a competitive basis. In order for applicants to take advantage of capabilities and experience of different organisations the formation of consortia is encouraged. This can create a mix of government, NGOs and the private sector. The composition and grouping of the members of these consortia is not the responsibility of the Contracting Authority. Please refer to the Guidelines for grant applicants section 2.1.1.
27	Do applicants have to label the various copies as original and copies?	Refer to Sections 2.2.2 and 2.2.6 of the Guidelines for grant applicants.
28	The total funding of the project is different from the funding of the call for proposal?	The overall indicative amount made available under this call for proposals is as indicated in the financial allocation provided by the contracting authority. Refer to page 7 of the Guidelines for grant applicants.
29	How many lots can a company apply for, is it one or even more?	The number of applications and grants per applicants/affiliated entities are indicated in section 2.1.4 of the Guidelines for grant applicants.
30	Does it mean, the main applicant must be from EU states and co-applicant comes from Uganda?	The eligibility criterion is defined in section 2.1.1 of the Guidelines for grant applicants. Please note that in order to ensure equal treatment of applicants, the Contracting Authority cannot give a prior opinion on the eligibility of lead applicants, co-applicants, affiliated entity(ies), an action or specific activities (see section 2.2.4 of the Guidelines for grant applicants).
31	During the awareness workshop, we were told to submit the Concept Note through this email but the guideline says something else, which one should we follow?	Refer to section 2.2.2 of the Guidelines for grant applicants.
32	The Guidelines mention the annexes, which includes the application forms comprising that of the Concept Note and Full Application but the form or instructions are not attached. Can I just use any format?	The forms can be downloaded from the websites detailed in section 2.2.4 of the Guidelines for grant applicants.
33	For activity 2.7 <i>Supporting the on-grower segment through developing the extension service capacity, technical training of both female and male fish farm managers and workers as well as Public Private Partnerships placement/apprentice schemes for</i>	In this context, it is referring to those involved with growing fish from post-hatchery fry/fingerling sizes to fish ready for final marketing to consumers.

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	<p><i>hands-on training. Deliberate efforts will be made to promote smallholder producers.</i></p> <p>Please can you define the term ‘on-grower’.</p>	
34	<p>Location</p> <p>In the Guidelines for Applicants, under ‘Location’ on page 11/12 it states:</p> <p><i>The actions must take place in one or more of the following areas designated by the Project as applicable;</i></p> <ul style="list-style-type: none"> <i>• Mwena, a landing site on Kalangala Island on Lake Victoria: the cage-based aquaculture park for tilapia production;</i> <i>• Lake Kyoga north of Masindi Port (Nile River banks): the pond-based aquaculture park that is designed for tilapia or catfish production;</i> <i>• Kampala and Entebbe: support to the Ministry of Agriculture, Animal Industries and Fisheries; public and private actors in the sector;</i> <i>• Country-wide for some segments of the value chain (e.g. feeds and fry/fingerlings production).</i> <p><i>Uganda’s aquaculture development is intended to be nation-wide, however, specific areas may warrant special focus depending on the current and envisaged sector development.</i></p> <p>And under ‘Types of Activity for Lot 1’ on pages 12-14 there is reference to:</p> <p><i>Training of Trainers actions undertaken to public and private sector actors to effectively deploy BMPs throughout the country within the project period</i></p> <p><i>Conducting a training needs assessment and design and delivery of training to support extension services in high potential zones in</i></p>	<p>All 3 components of Lot 1 are Country-wide focused. The exact approach taken should be elaborated in the application of the applicant.</p>

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	<p><u>Central, Eastern, Western and Northern Uganda</u>, innovative training materials and delivery systems generated as well as implementing various training exercises; innovative approaches and delivery mechanisms through public and private sector, educational institutes/academia, supply chain enterprises and others is envisaged. Inclusion of an accreditation systems for those involved may also be considered;</p> <p>Based on this information, I am not clear on the required 'location' for activities to be delivered under Lot 1 – is the location <u>'throughout the country' / 'in high potential zones in Central, Eastern, Western and Northern Uganda'</u></p> <p>OR</p> <p>If the actions take place in Mwena and Lake Kyoga as per the summary location information.</p> <p>Based on the above, I would be grateful if you could confirm the implementation area for Lot 1 activities.</p> <p><u>Variances between the information session presentation and the Final Guidelines for Applicants published on 6th December.</u></p> <p>We have noticed a couple of discrepancies between the information published on the EU website, and that contained in the information session presentation, and would be grateful if could confirm which is correct.</p> <p>Concept note submission deadline: in the presentation it is given as 5.00pm Kampala time on 29th January, and in the Guidelines for Applicants it is given as 3.00pm Kampala time on 29th January. Please confirm which is correct.</p>	<p>Regarding the submission deadline for the concept note, refer to Section 2.2.3 of the Guidelines for grant applicants.</p>

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35	<p><u>Eligibility criteria:</u></p> <p>In the Guidelines for applicants it states:</p> <p><i>Be established in a Member State of the European Union or in the African Caribbean Pacific (ACP) Countries.</i></p> <p><i>For British applicants: Please be aware that eligibility criteria must be complied with for the entire duration of the grant. Unless sector-specific eligibility rules provide otherwise, if the United Kingdom withdraws from the EU during the grant period without concluding an agreement with the EU ensuring in particular that British applicants continue to be eligible, you will cease to receive EU funding (while continuing, where possible to participate) or will be required to leave the project on the basis of Article 12.2. e. of General Conditions of the grant agreement’.</i></p> <p><i>For instance, Article 9(1)(f) of Regulation (EU) No 236/2014 provides for eligibility of member countries of the OECD, in the case of contracts implemented in a Least Developed Country or a Highly Indebted Poor Country, as included in the list of ODA recipients.</i></p> <p>This implies that UK/British registered organisations ARE eligible, regardless of the outcome of Brexit, as the UK is a member of the OECD and Uganda falls under the category of ‘Least Developed Country or a Highly Indebted Poor Country’</p> <p>In the Information Session Presentation, it states:</p> <p><i>Countries that are beneficiaries of a decision establishing reciprocal access to external aid</i></p> <p>This implies that in the event of a ‘no-</p>	<p>Section 2.1.1 of the Guidelines provides the eligibility criteria for this call.</p> <p>Please note that in order to ensure equal treatment of applicants, the Contracting Authority cannot give a prior opinion on the eligibility of lead applicants, co-applicants, affiliated entity(ies), an action or specific activities (see section 2.2.4 of the Guidelines for grant applicants).</p>

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	<p>deal Brexit' OR a Brexit deal whereby there is no reciprocal access agreed, that UK/British registered organisations would NOT be eligible</p> <p>Please confirm which is correct.</p>	
36	<p>Eligibility Criteria</p> <p>In the Guidelines for applicants it states that Lead Applicants must:</p> <p><i>Have operational presence in the Republic of Uganda, and specifically within the target geographical areas covered is an added advantage.</i></p> <p>In the information session Presentation, it states that Applicants must</p> <p><i>Be present in target areas with a permanent office, technical staff and other operational structures</i></p> <p><i>Have a letter of intent/endorsement from respective District Local Government(s) where the proposed action will take place.</i></p> <p>Please confirm which is correct.</p>	<p>Refer to Section 2.1.1 of the Guidelines.</p> <p>Please note that in order to ensure equal treatment of applicants, the Contracting Authority cannot give a prior opinion on the eligibility of lead applicants, co-applicants, affiliated entity(ies), an action or specific activities (see section 2.2.4 of the Guidelines for grant applicants).</p>
37	<p>In addition, in reference to 'Be present in target areas with a permanent office, technical staff and other operational structures' – does target areas refer to The Republic of Uganda, or the district(s) of direct implementation – in which case is it compulsory to have an existing presence in all districts of implementation to be eligible.</p>	<p>Presence is an added advantage. Refer to Section 2.1.1 of the Guidelines for grant applicants.</p>
38	<p>And in reference to 'Have a letter of intent/endorsement from respective District Local Government(s) where the proposed action will take place' – please confirm if where refers to the District where the organisation has their main office, or each and every district of implementation.</p>	<p>Refer to Section 2.1.1 of the Guidelines for grant applicants.</p>
39	<p>The Guidelines for Applicants state the following about the eligibility of the lead applicant "have operational presence in the Republic of Uganda.,</p>	<p>Refer to Section 2.1.1 of the Guidelines for grant applicants.</p>

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	<p>and specifically within the target geographical areas covered is an added advantage.”</p> <p>I would like to know whether it means that it is a mandatory requirement to have operational presence in the Republic of Uganda, and the presence within the specific target areas of PESCA is an added advantage, or if on the contrary it is not mandatory to have operational presence but it is considered an added advantage.</p>	
40	<p>In the power point presented during the information session and uploaded on the webgate.ec.europa.eu, in the slide 38 it is mentioned that the private sector is among the eligible applicant.</p> <p>In the guidelines for grant applicants instead at page 8 the private sector is not listed among the eligible applicants.</p> <p>Could you kindly clarify If the private sector is among the eligible applicants?</p>	Refer to Section 2.1.1 of the Guidelines for grant applicants.